

SCHOOLS FORUM**10th November 2016****Report of the Strategic Director for Children's Services****High Needs Block Places 2017-18****1. Purpose of the Report**

To inform the Schools Forum of the process for determining the number of high needs places to be commissioned in schools, academies and other provision for 2017-18.

2. Information and Analysis

On 13th October 2016 the DfE published details of the above processes for LAs to determine and, where necessary, notify the Education Funding Agency (EFA) of the number of places to be funded via the High Needs Block (HNB) in 2017-18.

There are in effect two processes for two different groups of institutions:

Group 1 - this covers academies (mainstream, special and alternative provision (AP)), mainstream free schools, studio schools, universal technical colleges, FE colleges, commercial and charitable providers (CCPs) and new post 16 special institutions.

Group 2 – maintained schools (including post 16), pupil referral units and special schools

There is a third group of institutions - special and AP free schools, non-maintained special schools and existing special post 16 institutions – which are outside the scope of this process and do not require any input from local authorities.

The EFA require LAs to have submitted a template for institutions in Group 1 setting out their proposed numbers by 25 November 2016 at the latest. By contrast changes to the places for institutions in Group 2 are a matter for local determination by the Authority and do not require EFA involvement.

There are several important points to note about the process. First, changes in respect of Group 1 have to be agreed with the relevant institutions. Where an institution does not agree with the LA's proposals the EFA will instead use the 2016-17 numbers once more unless the change is material in which case actual census data will be taken into account.

Second, the technical guidance states: "*in considering changes to places funded, local authorities need to consider all high needs providers in their area and in doing so need to take account not only of their own commissioning decisions at these institutions but also the commissioning decisions of other local authorities*" This means that should more high needs students living outside of Derbyshire be admitted into our schools, academies or colleges then Derbyshire will be responsible for the places cost (£6k-

£10k). The home local authority would, however, remain responsible for the funding over and above the place value ("Element 3.")

The above point is particularly important as there is no process in place to adjust local authorities HNB funding to reflect changes in cross border movements.

Finally, any costs or savings arising from the above process will fall to the Authority's HNB. Given the other pressures facing the HNB it is important that, in making its final commissioning decisions, the Authority considers the impact on other HNB funded services. As previously reported to the Schools Forum, the DfE have advised LAs not to transfer funding between Blocks next year. New pressures within the HNB will, unless the settlement provides for an increase in HNB funding, have to be met from savings in other high needs budgets.

Attached as an appendix to this report is a summary of the funding position for 2016-17. The Authority is in dialogue with all relevant institutions about the potential numbers to be funded for next year. The Forum will be advised of the outcome of this process and the implications for the wider HNB later in the budget process.

The DfE's announcement also clarified some other points, including:

- Confirmation that no LA will see a reduction in their re-baselined HNB DSG. An uplift will be applied, to be announced in December, but not all LAs may benefit from this.
- £125m will transfer from the DfE's own budgets to the HNB for places in FE Colleges and Post 16 Charitable and Commercial Providers (CCPs). The funding will be in our gross HNB but deducted at source and paid direct by the EFA – this change effectively transfers the risk on increases in numbers from the EFA to LAs.
- Learning Difficulty Assessments ceased to have legal effect on 1 September 2016 and as a result 19-25 year olds are now only eligible for funding if they have an EHCP.
- Confirmation that if a LA wants to commission further places at a provider which has filled all of the places that it has been funded for (irrespective of which LA has filled them), the payment of an additional £6,000/£10,000 is not automatic. Discussions about marginal additional costs need to take place.
- Clarification that top up funding is only payable where both the LA and provider have agreed the placement e.g. LAs shouldn't automatically pay top up to a college student that has been assessed by the college but not by the LA.
- Independent special schools remain outside of the place funding system

The views of the Schools Forum on the report are welcomed.

3. Other Considerations

In preparing this report the relevance of the following factors has been considered: prevention of crime & disorder, equality of opportunity, human resources, legal & human rights, environmental, financial, health, property and transport considerations.

4. Strategic Director's Recommendations

- (i) The Schools Forum is asked to give its views on the report.

**Jane Parfremment
Strategic Director for Children's Services**

INSTITUTION NAME	TYPE	ALL TYPES				ALL SCHOOLS/PRUs							POST SCHOOL				
		DCC FUNDED PLACES				Actual Oct 16 Pre-16 Filled Places				Actual Oct 16 Post 16 Filled Places			TOTAL OCT 2016 FILLED PLACES FOR SCHOOLS / ACADEMIES	16-18 Places	19-25 Places	TOTAL OCT 2016 FILLED PLACES FOR POST SCHOOLS	TOTAL ACTUAL FILLED PLACES OCT 16
		PRE 16 UNIT/ SPECIAL	POST 16 UNIT/ SPECIAL	POST 16 M/S & POST SCHOOL	FUNDED HIGH NEEDS PLACES	AP Places	Special Places	SEN Units and Resourced Provision Places	Total Pre-16 Places	Special Places	M/stream Places	Total 16-18 Places		Post Schools Only	Post Schools Only		
BROOKFIELD ACADEMY TRUST	A/C			7.00	7.00				0.00		3.00	3.00	3.00			0.00	3.00
ECCLESBOURNE	A/C				0.00				0.00		1.00	1.00	1.00			0.00	1.00
HEANOR GATE	A/C				0.00				0.00		1.00	1.00	1.00			0.00	1.00
HOPE VALLEY COLLEGE	A/C			24.00	24.00				0.00		21.00	21.00	21.00			0.00	21.00
NETHERTHORPE	A/C				0.00				0.00		1.00	1.00	1.00			0.00	1.00
ORMISTON ILKESTON ENTERPRISE ACADEMY	A/C			3.00	3.00				0.00		1.00	1.00	1.00			0.00	1.00
OUTWOOD ACADEMY NEWBOLD	A/C	14.00			14.00			14.00	14.00			0.00	14.00			0.00	14.00
QUEEN ELIZABETH'S GRAMMAR SCHOOL	A/C	12.50		11.00	23.50			5.00	5.00			0.00	5.00			0.00	5.00
ST MARYS	A/C				0.00				0.00		3.00	3.00	3.00			0.00	3.00
SWANWICK HALL SCHOOL	A/C			3.00	3.00				0.00			0.00	0.00			0.00	0.00
THE LONG EATON SCHOOL	A/C	10.00		1.00	11.00			11.00	11.00		3.00	3.00	14.00			0.00	14.00
THE RIPLEY ACADEMY	A/C			1.00	1.00				0.00			0.00	0.00			0.00	0.00
NEW WHITTINGTON COMMUNITY PRIMARY SCHOOL	SCHOOL	10.00			10.00			6.00	6.00			0.00	6.00			0.00	6.00
SPRINGFIELD JUNIOR SCHOOL	SCHOOL	16.00			16.00			14.00	14.00			0.00	14.00			0.00	14.00
THE PINGLE SCHOOL	SCHOOL	41.92		2.00	43.92			34.00	34.00		2.00	2.00	36.00			0.00	36.00
WILLIAM ALLITT SCHOOL	SCHOOL	6.00			6.00			3.00	3.00			0.00	3.00			0.00	3.00
CHESTERFIELD COLLEGE	FE PROVIDER			91.00	91.00				0.00			0.00	0.00	68.00	23.00	91.00	91.00
UNIVERSITY OF DERBY	FE PROVIDER			13.00	13.00				0.00			0.00	0.00	13.00		13.00	13.00
LANDMARKS	SPEC POST 16			26.00	26.00				0.00			0.00	0.00	9.00	17.00	26.00	26.00
ALDERCAR HIGH SCHOOL	SCHOOL	5.00	6.00	5.00	16.00			9.00	9.00		25.00	25.00	34.00			0.00	34.00
ALDERCAR INFANT SCHOOL	SCHOOL	8.00			8.00			7.50	7.50			0.00	7.50			0.00	7.50
ANTONY GELL	SCHOOL				0.00				0.00		1.00	1.00	1.00			0.00	1.00
BELPER SCHOOL AND SIXTH FORM CENTRE	SCHOOL			2.00	2.00				0.00		3.00	3.00	3.00			0.00	3.00
BRAMPTON PRIMARY SCHOOL	SCHOOL	14.00			14.00			13.00	13.00			0.00	13.00			0.00	13.00
BUXTON COMMUNITY SCHOOL	SCHOOL			2.00	2.00				0.00		0.00	0.00	0.00			0.00	0.00
CHAPEL-EN-LE-FRITH COFE VC PRIMARY SCHOOL	SCHOOL	19.00			19.00			18.00	18.00			0.00	18.00			0.00	18.00
CHAPEL-EN-LE-FRITH HIGH SCHOOL	SCHOOL	40.00			40.00			33.00	33.00			0.00	33.00			0.00	33.00
DUNSTON PRIMARY AND NURSERY SCHOOL	SCHOOL	6.00			6.00			4.00	4.00			0.00	4.00			0.00	4.00
ELMSLEIGH INFANT AND NURSERY SCHOOL	SCHOOL	16.00			16.00			11.50	11.50			0.00	11.50			0.00	11.50
FRIESLAND	SCHOOL				0.00				0.00		2.00	2.00	2.00			0.00	2.00
GLOSSOPDALE COMMUNITY COLLEGE	SCHOOL	3.00		1.00	4.00			0.00	0.00		3.00	3.00	3.00			0.00	3.00
HIGHFIELDS SCHOOL	SCHOOL			5.00	5.00				0.00		1.00	1.00	1.00			0.00	1.00
HILLTOP INFANT	SCHOOL				0.00				0.00			0.00	0.00			0.00	0.00
LADY MANNERS SCHOOL	SCHOOL			1.00	1.00				0.00		1.00	1.00	1.00			0.00	1.00
LANGLEY MILL JUNIOR SCHOOL	SCHOOL	7.00			7.00			4.00	4.00			0.00	4.00			0.00	4.00
NEW MILLS SCHOOL & SIXTH FORM	SCHOOL			1.00	1.00				0.00		1.00	1.00	1.00			0.00	1.00
PILSLEY PRIMARY SCHOOL	SCHOOL	8.00			8.00			9.00	9.00			0.00	9.00			0.00	9.00
TIBSHELF COMMUNITY SCHOOL	SCHOOL	15.00			15.00			16.00	16.00			0.00	16.00			0.00	16.00
TUPTON HALL SCHOOL	SCHOOL			2.00	2.00				0.00		3.00	3.00	3.00			0.00	3.00
WHALEY BRIDGE PRIMARY SCHOOL	SCHOOL	11.00			11.00			7.00	7.00			0.00	7.00			0.00	7.00
WHITTINGTON GREEN SCHOOL	SCHOOL	2.50			2.50				0.00			0.00	0.00			0.00	0.00
WILSTHORPE	SCHOOL				0.00				0.00		1.00	1.00	1.00			0.00	1.00
ALFRETON PARK COMMUNITY SPECIAL SCHOOL	SPECIAL	65.00	17.00		82.00		65.00		65.00	16.00		16.00	81.00			0.00	81.00
ASHGATE CROFT SCHOOL	SPECIAL	100.00	38.00		138.00		105.00		105.00	33.00		33.00	138.00			0.00	138.00
BENNERLEY FIELDS SPEECH & LANGUAGE COLL	SPECIAL	84.00			84.00		82.50		82.50			0.00	82.50			0.00	82.50
BRACKENFIELD SPECIAL SCHOOL	SPECIAL	72.00			72.00		70.00		70.00			0.00	70.00			0.00	70.00
HOLBROOK SCHOOL FOR AUTISM	SPECIAL	74.33	20.00		94.33		105.00		105.00	14.00		14.00	119.00			0.00	119.00
HOLLY HOUSE SPECIAL SCHOOL	SPECIAL	40.00			40.00		42.00		42.00			0.00	42.00			0.00	42.00
PEAK SCHOOL	SPECIAL	25.00	22.00		47.00		33.50		33.50	11.00		11.00	44.50			0.00	44.50
STANTON VALE SCHOOL	SPECIAL	62.00	23.00		85.00		67.00		67.00	13.00		13.00	80.00			0.00	80.00
STUBBIN WOOD SCHOOL	SPECIAL	109.00	11.00		120.00		99.00		99.00	19.00		19.00	118.00			0.00	118.00
SWANWICK SCHOOL AND SPORTS COLLEGE	SPECIAL	80.33			80.33		81.00		81.00	1.00		1.00	82.00			0.00	82.00
AMBER VALLEY & EREWASH SUPPORT CENTRE	AP	162.00			162.00	123.00			123.00			0.00	123.00			0.00	123.00
NORTH EAST DERBYSHIRE SUPPORT CENTRE	AP	102.00			102.00	66.00			66.00			0.00	66.00			0.00	66.00
SOUTH DERBYSHIRE SUPPORT CENTRE	AP	16.00			16.00	15.00			15.00			0.00	15.00			0.00	15.00
		1,257	137	201	1,595	204	750	219	1,173	107	77	184	1,357	90	40	130	1,487