

**DERBYSHIRE COUNTY COUNCIL**

**AUDIT COMMITTEE**

**7 February 2017**

**Report of the Director of Finance and the Assistant Director of Finance (Audit)**

**MEETING DATES AND AGENDA ITEMS FOR 2017-18**

**1. Purpose of the Report**

To agree likely agenda items for 2017-18 meetings of the Committee.

**2. Information and Analysis**

**Proposed Dates and Agenda Items**

**May 2017**

- Review of Annual Governance Statement and System of Internal Control
- Finance Timetable
- Risk Register Update
- External Audit Interim Report; Progress Report and Technical Update; Annual Fee Letter for 2017/18 audit
- NFI update on progress

**11 July 2017**

- Review of the effectiveness of the System of Internal Control
- Audit Services Annual Report 2016-17 including Internal Audit Plan Outturn and Assistant Director of Finance (Audit) Controls Assurance Statement
- Oversight of activity and compliance - RIPA
- Statement of Accounts 2016-17
- Risk Register update
- External Auditor's report to those charged with Governance for Derbyshire County Council and Derbyshire Local Government Pension Fund

NB. To meet the requirements of the audit of the Council's accounts it is hoped that the formal approval of the 2016-17 accounts may be possible at the July meeting, if this is not possible then there will be the need to agree an additional meeting.

**26 September 2017**

- Budget Monitoring

- Review of Regulatory Framework (Financial Regulations and Standing Orders, Anti Fraud and Anti Corruption Strategy, Fraud Response Plan, Whistleblowing The Confidential Reporting Code and Codes of Conduct for Members and Officers)
- NFI update on progress
- Review of Annual Governance Statement recommendations and Action Plan progress
- Internal Audit progress against Plan for the first 5 months of 2017-18
- Risk Register Update
- Treasury Management Scrutiny
- External Audit Annual Audit Letter, External Audit Progress Report and Technical Update

### **6 February 2018**

- Budget Monitoring
- Internal Audit progress against Plan for the first 9 months of 2017-18
- Risk Register update
- Oversight of activity and compliance – RIPA
- Proposed meeting dates and Agenda items 2018-19
- External Audit Plan 2017-18, External Audit Progress Report and Technical Update

### **27 March 2018**

- Approval of Annual Internal Audit Plan 2018-19
- Oversight of activity and compliance – RIPA
- Risk Register update
- Budget Monitoring

To this list may be added Audit Committee Member training proposals and any additional reports arising.

## **3. Considerations**

In preparing this report the relevance of the following factors has been considered: financial, legal, prevention of crime and disorder, equality and diversity, human resources, environmental, health, social value, property and transport considerations.

## **5. Background Papers**

File held by the Assistant Director of Finance (Audit).

## **5. Officers' Recommendation**

That the Committee agree the proposed programme for 2017-18.

**Peter Handford**  
**Director of Finance**

**Carl Hardman**  
**Assistant Director of Finance (Audit)**